



11/27/04

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being mailed in an envelope by First Class mail to:

Commissioner for Patents
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Alexandria, VA 22313-1450

on 12-1-04

Suzanne Skinner
(Typed or printed name of person mailing correspondence)

Suzanne Skinner
(Signature of person mailing correspondence)

Serial Number:	10/079,920	Filing Date:	February 20, 2002
Examiner:	Tong, Nina C.	Art Unit:	2632
Title:	ASSET MANAGEMENT SYSTEM		
Our Reference Number:	K047 1180.1		

Fourth Information Disclosure Statement
37 CFR 1.97(e) Certification
Form PTO-1449
Copy of References A and B
Return Postcard



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:) Docket Number: **K047 1180.1**
STEVE D. SINGLETON)
Serial No: **10/079,920**) Art Unit: **2632**
Filed: **February 20, 2002**) Examiner: **Tong, Nina C.**
For: **ASSET MANAGEMENT SYSTEM**

FOURTH INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:


Applicant hereby voluntarily discloses the items listed on the attached Form PTO-1449 to the Commissioner for Patents. Copies of items A and B are enclosed herewith.

Copies of the references listed on the attached Form PTO-1449 are being submitted at this seemingly late date because they were only recently brought to our attention as a result of foreign prosecution; a certification, as specified in paragraph (e) of 37 CFR 1.97, is enclosed herewith.

Applicant further reserves the right to establish the patentability of the claimed invention over any of the listed information should they be applied as references, and/or to prove that some of the cited information may not be prior art, and/or to prove that some of the cited information may not be enabling for the teachings they purport to offer. This statement further should not be construed as the representation that an exhaustive search has been made, or that the information cited herewith is material, or that there does not exist information more material to the examination of the present Application. The Examiner is specifically requested not to rely solely on the information submitted herein. On the contrary, the Examiner is requested to conduct an independent and thorough review of the information, and to form independent opinions as to their significance.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 and to indicate in the official file wrapper of the above-identified patent application that each item of the cited information has been considered.

Date: 1 Dec, 2004


Steven D. Ken
Attorney for Applicant
Reg#32,472

File: **K047 1180.1**



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:) Docket Number: **K047 1180.1**
STEVE D. SINGLETON)
Serial No: **10/079,920**) Art Unit: **2632**
Filed: **February 20, 2002**) Examiner: **Tong, Nina C.**
For: **ASSET MANAGEMENT SYSTEM**

37 CFR 1.97(e) CERTIFICATION

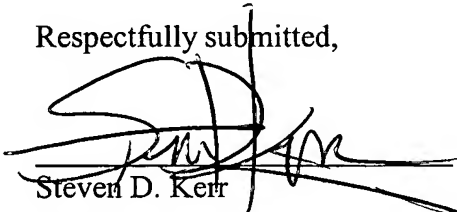
Commissioner for Patents
P.O. Box 1450
Arlington, VA 22313-1450

Sir:

This certification is being submitted, in accordance with 37 CFR 1.97, with the Information Disclosure Statement enclosed herewith. Each reference cited by the Information Disclosure Statement was cited in a communication from a foreign patent office regarding a related foreign application. The communication from the foreign patent office was not received more than three months prior to the submittal of this certification.

1 Dec, 2004
Date

Respectfully submitted,


Steven D. Keir
Reg. No. 32,472

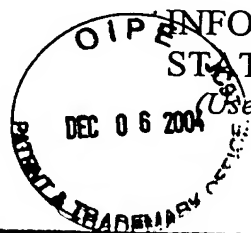
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Docket No.: **K047 1180.1**

PTO/SB/08A (08-03)
Substitute for Form 1449/PTO

Application Number
10/079,920

Filing Date
02/20/02



**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

First Named Inventor
William C. Maloney

Art Unit
2632

Examiner Name
Tong, Nina C.I

Attorney Docket Number
K047 1180.1

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A	1,364,535	08/21/74	Cannon Electric Limited	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	B	Memory-Based Identifier Tag Provides Digital ID- Dave Bursky – Electronic Design – 7/25/92 – Pgs. 153 and 156